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Atty. Dkt. No. 062834-0140



Application Anthony F. MENNINGER et al.

Title:

SYSTEM, METHOD AND COMPUTER

PROGRAM PRODUCT FOR A SECURE

SUPPLY CHAIN MANAGEMENT

FRAMEWORK

Appl. No.:

09/815,989

Filing Date:

03/23/2001

Examiner:

Florian M. Zeender

Art Unit:

3627

UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is being filed after the mailing date of either the final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application. Accordingly, pursuant to 37 CFR §1.97(d), a statement and fee are required.

07/29/2004 EABUBAK1 00000044 09815989

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RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

STATEMENT

The undersigned hereby states in accordance with 37 C.F.R. 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.

FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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Date July 28, 2004

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MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | INFORMAT | ION DISCLOSUR | ¥ ^E O i | Application Number | 09/815,989 | | |
| | STATEMEN | IT BY APPLICA | | | March 23, 2001 | | |
| | Date Submi | itted: July 28, 20 | 111 7 6 200 | First Named Inventor | Anthony F. MENNINGER et al. | | |
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| | (use as many | sheets as necess | a constant | xaminer Name | Florian M. Zeender | | |
| Sheet | 1 | of 1 | & TRADEME | Attorney Docket Number | 062834-0140 | | |

| U.S. PATENT DOCUMENTS | | | | | | | |
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| Cite No. ¹ | U.S. Patent Document | | | Date of Publication of | Pages, Columns, Lines, Where Relevant | | |
| | Number | Kind Code ² (if known) | Name of Patentee or Applicant of Cited Document | Cited Document MM-DD-YYYY | Passages or Relevant Figures Appear | | |
| | 2004/0010463 | A1 | Hahn-Carlson et al. | 01-15-2004 | | | |
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| | | NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | | | | | | |
| | | Microsoft Press Computer Dictionary; 3 rd Edition; 1997; Microsoft Press; pages 355 and 463 | | | | | |
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.